Introduction to Vaquita Sun, Inc.

An Asset Management Company

200 East Las Olas Blvd. Suite 1400
Fort Lauderdale, FL 33301
954.620.9900
david@vaquitasun.com





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- Founded in 2024 with clients as shareholders and a board of directors governing and holding management accountable for all operations in the company.
- An asset management company customizing portfolios specifically for individual client needs and objectives.
- Vaquita Sun, Inc. partners with top investment firms to bring expertise and a diverse range of investment strategies, adding to diversification and optionality for client portfolios.
- Our company utilizes the capabilities advanced databases and research companies to bring clients unmatched reporting and services.



David Forsyth

- David Forsyth brings 27 years of investment management experience, having successfully navigated client portfolios through seven bear markets and some of the most challenging financial environments in modern history. Throughout his career, David has always acted with care, placing the interests of his clients as the top priority—guiding investment decisions with integrity, discipline, and long-term perspective.
- As the co-founder of Aft, Forsyth and Company, Inc., David played a pivotal role in establishing the firm in 2005. Over nearly two decades, he co-managed both the operations and client investment portfolios, guiding the firm and its clients through the 2007-2008 financial crisis, the post-crisis stimulus and recovery beginning in 2009, the taper tantrum of 2013, the global pandemic of 2020, the inflationary and rising interest rate environment of 2021-2022, and the AI and technology-driven surge of 2023. As a result of his stewardship, Aft, Forsyth and Company, Inc., along with Aft, Forsyth & Sober, surpassed \$500 million in combined assets under management as of June 2024.
- Prior to founding Aft, Forsyth and Company, David built a strong foundation as an equity and portfolio analyst for a Palm Beach and Delray Beach-based investment advisory firm. During his seven-year tenure, he actively managed portfolios through the highs of the Dot-Com Bubble and the subsequent stock market crash from 2000 to 2002, including the historic events surrounding the September 11 attacks.
- David earned his Bachelor of Science degree in Finance from Florida Atlantic University in 1997. His career has been defined by a commitment to disciplined portfolio management, navigating market volatility, and building lasting relationships based on trust and performance.

Vaquita Sun, Inc. Investment Management Services

Personalized Individual Equity Portfolio Management

- Customized individual equity portfolio to match the client's investment objectives and risk tolerances
- Management fee of 0.09% per month (1.08% annually)
- Assets included in ownership allocation calculation

Personalized Active Fixed Income Portfolio Management

- Allocations of Individual bonds, preferred stocks and funds to generate income
- Management Fee of 0.05% per month (0.6% annually)
- Assets included in ownership allocation calculation

Third Party Investment Management

- Provides an increased diversification through differentiated investment strategies provided by top investment firms
- Management fee of 0.05% per month (0.6% annually)
- Assets included in ownership allocation calculation

Non-Discretionary - Safekeeping of Assets

- Assets held for safekeeping, monitored and reported, but not actively managed. Ideal for assets to be held for many years without active management, US Treasuries and money market/cash not to be invested
- ► Management fee of 0.02% per month (0.24% annually)
- Assets are NOT in included in ownership allocation calculation



Institutional-Grade Investment Management Services

- Vaquita Sun, Inc. Custom Equity and Fixed Income Portfolio Management. Research, Monitoring and Allocation of Third-Party Managers. Monitoring and Reporting of Assets for Safekeeping.
- SEI Private Trust Company SEI Investments \$1.6 Trillion in AUM/AUS. Publicly Trades under SEIC. Securities Held in Your Name. Safekeeping. Reporting. Financial Planning. Trade Platform/Settlement. Portfolio Analysis.
- ► iCapital, Inc. Global Platform Assets \$220 Billion. Alternative Investment Platform. Due Diligence. Reporting.
- Third-Party Investment Managers Differentiated Investment Strategies. Research. Portfolio and Risk Analytics. Portfolio Management. Alternative Investment Management (Beginning Mid 2025).
- ▶ **Bloomberg LLC** Research. Data. Valuation.



Summary

- Safety and Security of Your Assets
- Governance and Accountability
- Personalized Portfolio Management
- Diverse Investment Strategies
- Advanced Analytics and Research
- Independent Portfolio Analysis