



Introduction to
Vaquita Sun, Inc.
An Asset Management Company

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The background of the slide features a vertical strip on the left side containing various financial charts. At the top, there is a candlestick chart with a price of 1.65 and a downward arrow. Below it is a line chart with multiple data series. At the bottom is a bar chart with several vertical bars of varying heights. The right side of the slide has a white background with a green geometric pattern on the far right edge.

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Company Overview

- ▶ Founded in 2024 with **clients as shareholders** and a **board of directors** governing and holding management accountable for all operations in the company.
- ▶ An asset management company customizing portfolios specifically for individual client needs and objectives.
- ▶ **Vaquita Sun, Inc. partners with top investment firms** to bring expertise and a diverse range of investment strategies, adding to diversification and optionality for client portfolios.
- ▶ Our company utilizes the capabilities advanced databases and research companies to bring clients unmatched reporting and services.

David Forsyth

- ▶ **Cofounder of Aft, Forsyth and Company, Inc.**, David Forsyth was involved in opening the investment management company in 2005 and for **19 years co-managed the operations and client portfolios** throughout the financial crisis of 2007-2008, stimulus and recovery beginning in 2009, taper tantrum of 2013, pandemic of 2020, rising inflation and interest rates in 2021-2022 and the AI and technology surge in 2023. In June 2024, Aft, Forsyth and Company, Inc. along with Aft, Forsyth & Sober had combined assets under management of over \$500,000,000.
- ▶ Before starting Aft, Forsyth and Company, Inc., David Forsyth worked as an **equity/portfolio analyst for 7 years** at an investment advisor in Palm Beach and Delray Beach during the Dot-Com Bubble in the years leading up to 2000 and the following stock market crash from 2000-2002, including the 9/11 terrorist attacks in 2001.
- ▶ David Forsyth graduated from Florida Atlantic University with a Bachelors of Science In Finance in 1997.

Vaquita Sun, Inc.

Investment Management Services

- ▶ **Personalized Individual Equity Portfolio Management**
 - ▶ Customized individual equity portfolio to match the client's investment objectives and risk tolerances
 - ▶ Management fee of 0.09% per month (1.08% annually)
 - ▶ Assets included in ownership allocation calculation
- ▶ **Personalized Active Fixed Income Portfolio Management**
 - ▶ Allocations of Individual bonds, preferred stocks and funds to generate income
 - ▶ Management Fee of 0.05% per month (0.6% annually)
 - ▶ Assets included in ownership allocation calculation
- ▶ **Third Party Investment Management**
 - ▶ Provides an increased diversification through differentiated investment strategies provided by top investment firms
 - ▶ Management fee of 0.05% per month (0.6% annually)
 - ▶ Assets included in ownership allocation calculation
- ▶ **Non-Discretionary - Safekeeping of Assets**
 - ▶ Assets held for safekeeping, monitored and reported, but not actively managed. Ideal for assets to be held for many years without active management, US Treasuries and money market/cash not to be invested
 - ▶ Management fee of 0.02% per month (0.24% annually)
 - ▶ Assets are NOT included in ownership allocation calculation

Institutional-Grade Investment Management Services

- ▶ **Vaquita Sun, Inc.** - Custom Equity and Fixed Income Portfolio Management. Research, Monitoring and Allocation of Third-Party Managers. Monitoring and Reporting of Assets for Safekeeping.
- ▶ **SEI Private Trust Company** - SEI Investments \$1.5 Trillion in AUM/AUS. Publicly Trades under SEIC. **Securities Held in Your Name**. Safekeeping. Reporting. Financial Planning. Trade Platform/Settlement. Portfolio Analysis.
- ▶ **iCapital, Inc.** - Global Platform Assets \$191 Billion. Alternative Investment Platform. Due Diligence. Reporting.
- ▶ **Third-Party Investment Managers** - Differentiated Investment Strategies. Research. Portfolio and Risk Analytics. Portfolio Management. Alternative Investment Management (Beginning Mid 2025).
- ▶ **Bloomberg LLC** - Research. Valuation.



Summary

- ▶ Safety and Security of Your Assets
- ▶ Governance and Accountability
- ▶ Personalized Portfolio Management
- ▶ Diverse Investment Strategies
- ▶ Advanced Analytics and Research
- ▶ Independent Portfolio Analysis